






Audit Subject	Status February 2018	Opinion	Comments
<u>AUDITS SCHEDULED TO COMMENCE IN 2016/17</u>			
<u>2016/17 Internal Audit Plan</u>			
<u>Assurance Work – Key Systems</u>			
Business Rates	Completed	Substantial Assurance	Reported June 2017
Corporate and Ethical Governance	Completed	Adequate Assurance	Reported June 2017
Corporate Procurement Review			
Ordering Compliance	Completed	Not Stated	Reported Sept 2017
Departmental Procurement			
Public Realm Procurement	Completed	Not Stated	Reported Sept 2017
Housing Benefit	Completed	Substantial Assurance	Reported June 2017
Payments Received	Completed	Adequate Assurance	Reported June 2017
Sundry Debtors	Completed	Improvement Required	Reported June 2017
<u>Assurance Work – Other Systems</u>			
Building Control	Completed	Adequate Assurance	Reported Sept 2017
Grants / Financial Assistance	Completed	Adequate Assurance	Reported June 2017
Housing Repairs and Maintenance	Completed	Adequate Assurance	Reported June 2017
Risk Management	Completed	Improvement Required	Reported June 2017
<u>Assurance Work – Computer Audit</u>			
I T Governance	Completed	Substantial Assurance	Reported June 2017
I T Project Management	Completed	Adequate Assurance	Reported Jan 2018
Uniform Application Review	Completed	Improvement Required	Reported June 2017

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<u>AUDITS SCHEDULED TO COMMENCE IN 2017/18</u>			
<u>2017/18 Internal Audit Plan</u>			
<u>Assurance Work – Key Systems</u>			
Banking	Fieldwork		
Business Rates	Fieldwork		
Corporate and Ethical Governance	Completed	Substantial Assurance	Reported Jan 2018
Council Tax	Completed	Adequate Assurance	
Creditors	Completed	Adequate Assurance	Reported Jan 2018
Departmental Procurement	Completed	Not Applicable	Procurement training provided to 160 Officers
Housing Benefit	Draft Report		
Housing Rents	Draft Report		
Main Accounting System	Draft Report		
Payments Received	Deferred		
Payroll	Completed	Substantial Assurance	Reported Jan 2018
Sundry Debtors	Draft Report		
Treasury Management	Completed	Substantial Assurance	
<u>Assurance Work – Emerging Key Projects</u>			
Garden Communities	Unallocated		
Jaywick Sands			
		Continuous liaison with Senior Management – not currently at a stage where Internal Audit can add value or where projects have progressed far enough for a full audit review.	

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<u>Assurance Work – Other Systems</u>			
Asset Management	Draft Report	Substantial Assurance	Reported Jan 2018
Coast Protection	Completed		
Corporate Counter Fraud	Deferred		
Departmental Governance			
Operational Services			
Departmental Staff Allowances and Time	Completed	Adequate Assurance	
Chief Executive and Management Support	Completed	Adequate Assurance	Reported Sept 2017
Planning and Regeneration	Completed	Adequate Assurance	Reported Jan 2018
Engineering Services			
Health and Safety	Fieldwork		
Housing Repair and Maintenance			
Kitchen and Bathroom Replacement Contract			
Heating Refurbishment Contract	Completed	Adequate Assurance	
Insurance	Fieldwork	Substantial Assurance	Reported Sept 2017
Licensing	Completed	Adequate Assurance	Reported Sept 2017
Member Support	Fieldwork		
Parking Services	Completed	Adequate Assurance	Reported Jan 2018
Princes Theatre and Essex Hall	Fieldwork		
Planning Policy	Fieldwork		
Regeneration and Inward Investment	Fieldwork		
Risk Management	Fieldwork		
S106	Fieldwork		
Walton – on – the – Naze Lifestyles	Completed	Improvement Required	

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<u>Assurance Work – Computer Audit</u>			
Cash Receipting Application Review	Completed	Adequate Assurance	Reported Jan 2018
Human Resources / Payroll Application Review	Completed	Substantial Assurance	Reported Jan 2018
IT Governance	Draft Report		
IT Infrastructure	Completed	Adequate Assurance	Reported Jan 2018
IT Support	Allocated		
Status Key			
Unallocated	Audit in Audit Plan, but no work undertaken yet		
Allocated	Audit is being scoped / has been scoped and awaiting commencement		
Fieldwork	Audit in progress		
Draft Report	Audit fieldwork complete, but Final Report not yet issued		
Completed	Final Report issued and audit results reported to Audit Committee		
Deferred	Audit was in Audit Plan, but will now be undertaken in a later year. Deferred audits agreed by Audit Committee		
Delayed	Valid request from function being audited for audit to be undertaken later than proposed		